PTO-1390 (Rev. 07-2005)

| TRANSMITTAL LETTER TO THE UNITED STATES   |   | ATTORNEYS DOCKET NUMBER 021958-000110US         |  |  |  |  |  |
|---|---|---|--|--|--|--|--|
| DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371  |   |   | U.S. APPLICATION NO ((Kineson, see 37 GFR 25) To Be Assigned 5 4 6 1 2 |  |  |  |  |
| INTERN  | ATIONAL APPLICATION NO.   | INTERNATIONAL FILING DATE                       | PRIORITY DATE CLAIMED  |  |  |  |  |
| TITLE O   | PCT/US2004/033224 FINVENTION  | October 06, 2004                                | October 06, 2003   |  |  |  |  |
| DEFINED DOSE THERAPEUTIC PHAGE  |   |   |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US   |   |   |  |  |  |  |  |
| JAYASHEELA, M.; SRIRAM, Bharathi; PADMANABHAN, Sriram   |   |   |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |   |   |  |  |  |  |  |
| 1. 🛛  | This is a FIRST submission of items of  | ms concerning a submission under 35 U.S.C. 371. |  |  |  |  |  |
| 2. 🔲  | This is a SECOND or SUBSEQUENT  | submission of items concerning a submis         | sion under 35 U.S.C. 371.  |  |  |  |  |
| з. 🏻  | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |   |  |  |  |  |  |
| 4. 🛛  | The US has been elected (Article 31).   |   |  |  |  |  |  |
| 5. 🛛  | A copy of the International Application   | as filed (35 U.S.C. 371(c)(2))                  |  |  |  |  |  |
|   | a.  is attached hereto (required or   | lly if not communicated by the Internationa     | al Bureau).  |  |  |  |  |
|   | b.  has been communicated by the  | e International Bureau.                         |  |  |  |  |  |
|   | c. X is not required, as the application  | ion was filed in the United States Receiving    | g Office (RO/US).  |  |  |  |  |
| 6. 🗌  | An English language translation of the  | International Application as filed (35 U.S.     | C. 371(c)(2)).   |  |  |  |  |
|   | a.  is attached hereto.   |   |  |  |  |  |  |
|   | b.  has been previously submitted   | under 35 U.S.C. 154(d)(4).                      |  |  |  |  |  |
| 7. 🛛  | Amendments to the claims of the inter   | national Application under PCT Article 19       | tide 19 (35 U.S.C. 371(c)(3))  |  |  |  |  |
|   | a.   are attached hereto (required only if not communicated by the International Bureau).   |   |  |  |  |  |  |
| •   | b.  have been communicated by the International Bureau.   |   |  |  |  |  |  |
|   | c. have not been made; however, the time limit for making such amendments has NOT expired.  |   |  |  |  |  |  |
|   | d. A have not been made and will n  | not be made.                                    |  |  |  |  |  |
| 8. 🔲  | An English language translation of the  | amendments to the claims under PCT Ar           | tide 19 (35 U.S.C. 371(c)(3)).   |  |  |  |  |
| 9. 🛚  | An oath or declaration of the inventor(   | s) (35 U.S.C. 371(c)(4)).                       |  |  |  |  |  |
| 10.   | An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).  | annexes of the International Preliminary        | Examination Report under PCT   |  |  |  |  |
| Iten  | ns 11 to 20 below concern document  | (s) or information included:                    |  |  |  |  |  |
| 11.   | An Information Disclosure Statement   | under 37 CFR 1.97 and 1.98.                     |  |  |  |  |  |
| 12. 🔲   | An assignment document for recording  | g. A separate cover sheet in compliance         | with 37 CFR 3.28 and 3.31 is included.                                 |  |  |  |  |
| 13.   | A preliminary amendment.  |   | •  |  |  |  |  |
| 14. 🛛   | An Application Data Sheet under 37 C  | FR 1.76.  |  |  |  |  |  |
| 15.   | A substitute specification,   |   |  |  |  |  |  |
| 16.   | A power of attorney and/or change of  |   |  |  |  |  |  |
| 17.   | A computer-readable form of the sequ  | ence listing in accordance with PCT Rule        | 13ter.2 and 37 CFR 1.821 - 1.825.                                      |  |  |  |  |
| 18.   | A second copy of the published Interna  | ational Application under 35 U.S.C 154(d)       | (4).   |  |  |  |  |
| 19. 🗌   | A second copy of the English tanguage   | e translation of the international applicatio   | n under 35 U.S.C. 154(d)(4).   |  |  |  |  |

| U.S. APPLICATION NO. (if known, see 37  |  | 7 CFR 1.5) INTERNATIONAL APPLICATION NO. |   |                 | ATTORNEY'S DOCKET NUMBER |                        |              |  |  |
|---|--|--|---|-----------------|--------------------------|------------------------|--------------|--|--|
| To Be Assigned 5 7 / 9 1 2 PCT/US2004/033224  |  | 1/033224                                 |   | 021958-000110US |                          |                        |              |  |  |
| 20. Other items or information: Express Mall Label No. EV 568 972 552 US, mailed on March 01, 2006  |  |  |   |                 |                          |                        |              |  |  |
| 1) Submission of replacement pages 37 and 40 of the Specification to correct obvious errors 2) International Publication No. WO 2005/046579 A3 (with International Search Report) 3) International Publication No. WO 2005/046579 A2 4) International Search Report with Written Opinion 5) PCT Forms: PCT/IB/304; PCT/IB/308 (2 forms) |  |  |   |                 |                          |                        |              |  |  |
| The folio   | wing fees have been                                | submitted                                |   |                 |                          | CALCULATIONS           | PTO USE ONLY |  |  |
| 21. Basic n   | ational fee (37 CFR 1.                             | 492(a))                                  | •••••••••••••••••••••••••••••••••••••••                   | \$300           |                          | \$ 300                 |              |  |  |
| 22. X Examin  | ation fee (37 CFR 1.4                              | 92(c))                                   |   |                 |                          |                        |              |  |  |
| If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  All other situations \$200   |  |  |   |                 | red                      | \$ 200                 |              |  |  |
|   | fee (37 CFR 1.492(b)                               |  |   | •               |                          |                        |              |  |  |
| If the written opinio   | n of the ISA/US or the<br>icates all claims satisf | internationa<br>v novisions              | I preliminary examination rep<br>of PCT Article 33(1)-(4) | ort prepared by |                          |                        |              |  |  |
| Search fee (37 CF)  | R 1.445(a)(2)) has bee                             | n paid on th                             | e international application to 1                          | the USPTO as a  | n                        |                        |              |  |  |
| International Searc   | h Report prepared by                               | an ISA other                             | than the US and provided to                               | the Office or   |                          | 10                     |              |  |  |
| previously communicated to the US by the IB \$400 All other situations \$500  |  |  |   |                 |                          | \$ 100                 | :            |  |  |
|   | TOTAL OF 21, 22                                    | and 23 =                                 |   |                 |                          | \$ 600                 |              |  |  |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in comptiance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.                                |  |  |   |                 | រោ                       |                        |              |  |  |
| Total Sheets  |  |  |   |                 |                          |                        |              |  |  |
| 33  | . 0  | <u> </u>                                 |   | \$250           |                          | \$00.00                |              |  |  |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).   |  |  |   |                 |                          | \$                     |              |  |  |
| CLAIMS  | NUMBER F   | ILED                                     | NUMBER EXTRA  | RATE            |                          |                        |              |  |  |
| Total claims  |  | 22 - 20                                  | 2   | x \$ 50         |                          | \$ 100.00              |              |  |  |
| Independent clair   |  | 8-3                                      | 5   | x \$200         |                          | \$1000.00              |              |  |  |
| MULTIPLE DEPEN  | IDENT CLAIM(S) (if a                               | oplicable)                               |   | + \$360         |                          |                        |              |  |  |
|   |  |  | TOTAL OF ABOVE  | CALCULATION     | 4S =                     | \$1100.00              |              |  |  |
| Applicant clair   | ms small entity status.                            | See 37 CF                                | R 1.27. Fees above are redu                               | ced by 1/4.     |                          |                        |              |  |  |
| SUBTOTAL =  |  |  |   | _               | \$1700.00                |                        |              |  |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).   |  |  |   | est<br>+        | \$                       |                        |              |  |  |
| TOTAL NATIONAL FEE =  |  |  |   |                 | EE =                     | \$1700.00              |              |  |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  |  |  |   |                 | ied<br>+                 | \$                     |              |  |  |
| TOTAL FEES ENCLOSED =   |  |  |   |                 | ED =                     | \$ 1700.00             |              |  |  |
|   |  |  |   |                 |                          | Amount to be refunded: | \$           |  |  |
|   |  |  |   |                 |                          | Amount to be           | e 4700 00    |  |  |

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|----------------|--------------------------|---|-----|
|                |                          | A check in the amount of \$   | on  |
| Be<br>TO<br>Tw | th L. K<br>WNSI<br>o Emt | ALL CORRESPONDENCE TO:  SIGNATURE  SIGNATURE  Beth L. Kelly  NAME  sharcadero Center, Eighth Floor  sincisco, CA 94111-3834  SIGNATURE  Registration NUMBER |     |

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